



## **PEFC COUNCIL CHECK LIST** **FOR CERTIFICATION SCHEME APPLICATIONS**

### INTRODUCTION

Annex 5, paragraph 2.1 of the Technical Document of the Pan European Forest Certification Council outlines the procedures which certification scheme applicants need to follow in order to have their schemes assessed against the rules of the PEFC Council. This check list is divided into three parts. Part one deals with the process of applying for recognition by the Pan European Forest Certification Council (PEFCC Technical Document Annex 5, 1.1). Part two contains the criteria, and operational level guidelines against which any applicant scheme will be considered. Part three contains a list of reminders to ensure that the applicant has indicated in the official application how each of the criteria, indicators and operational guidelines have been fulfilled.

### Part 1 – Check List on the Development Process of National, Regional or Any Other Sub-National Level

- 1) Has a Forum been created to which relevant interested parties in the process have been invited to participate? Yes  No

If the answer to number 1) is Yes, please list all the parties that have been invited to participate and indicate which of these have participated in the process.

Yes, PEFC –España has promoted the creation of two Forum, the association PEFC –España and the Normalisation Technical Committee (CTN 162).

See Annex A – Participant list

Annex B – Meeting List

- 2) Has there been an assessment of the relevance of sustainable forest management elements? Yes  No

Yes, the process of the Sustainable Forest Management Spanish Indicators development had done following the Paneuropean Criteria and Indicators and the Pan European Operational Level Guidelines.

If No, please explain why.

## PEFC – España /Check List

- 3) Have the sustainable forest management elements been interpreted and incorporated in the national situations? Yes  No

Yes, the Normalising Technical Committee had done a deep study of the characteristic elements of the Spanish Sustainable Forest Management for it proper interpretation and in order to take account it in the Spanish Indicators.

If No, please explain why.

- 4) Have the sustainable forest management elements been defined? Yes  No

Yes, in the Indicators of the Norm UNE 162002 has been established the objectives and parameters which let us the correct following of the Sustainable Forest Management Elements.

If No, please explain why.

- 5) Transparency: during the preparation of certification criteria by the Forum, have draft documents been made available to interested parties who requested them? Yes  No

If Yes, please list below:

It had been invited to participate all the interested sectors in the Forest Management, in the developing of the Criteria and Indicators of Sustainable Forest Management. Finally more of 55 vocals of different sectors had participated in the elaboration of the Norms. The draft norms had been published in the Official Gazette (B.O.E.) and also been published in internet, allowing all the society to participate with their comments of it.

If No, please explain why.

- 6) A Pilot Study can be a useful mechanism in helping the development of the process. Has a Pilot Study been undertaken? Yes  No

Yes, there has already been solicited various application experiences of the PEFC Certification System in Spain and in this moment they are already starting . As soon as possible we expect to develop some Chain of Custody experiences.

If No, please explain why.

- 7) Consensus: consensus shall be the objective, but not a precondition, to decide on the certification criteria. Has a consensus been reached? Yes  No

The documents which PEFC- Spain presents in the certification system, had been elaborated by an open and transparent process. In this process there has obtained a wide participation of the different interested parties, and it has reached an unanimous stand.

If No, please explain why.

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- 8) Consultative Process: please indicate how much time has been provided to allow for consultation on the final draft certification criteria, prior to a final decision being made: Yes  No

The elaboration of the national norm process, in which it has been developed the Indicators which evaluate the Sustainable Forest Management in Spain, and according them the forest will be certified; was initialised on February of 2000 in AENOR. Till the moment there has been hold more than 40 meetings of the Sustainable Forest Management Normalising Technical Committee (CTN 162).

Upon this time the documents elaborated had been improved in each meeting, and had been accessible for all who demanded and finally as all the national normalising process, after the final norm drafts endorsement, there were in a enquiry during 30 days and published in the Official Gazette (B.O.E.) and in the Forestry Engineers Official School Web page. After that period of time, revising the all the comments made, finally the documents were unanimously endorsed.

- 9) Certification Criteria: will be periodically reviewed in the light of new scientific knowledge and a continuous improvement is aimed at. Please indicate how this is achieved in your scheme:

Yes  No

The incorporation of the scientific researches and the results from the Spanish PEFC System application experiences, to the norms influenced by them, it will reach as it has been in the national normalising process already described. These Committees not disappear or stop in its functions once the norms had been endorsed , they continue with its work in order to continuously improve the norms adapting the texts to that results. Inside the national normalising process it exists a maximum period of 5 years after it the norms should be revised. All the different PEFC- Spain documents will also be revised above the PEFC- Spain decision.

- 10) Please indicate how you intend to periodically review the certification criteria to adhere with the development process on national, regional or other sub-national level.

In the Regional Certification, both the Regional Directives and the Technical Referent will be revised, according to the scientific researches and the results from the application experiences , as soon as possible and always each five years. The revision of the Regional Directives will be done by the PEFC Certification Promoter Regional Association and in the case the Regional Technical Referent exist, that revision will be done always before the auditory process by the Regional Applicant Entity.

In the group and individual certification, the revision will be done by the respective group applicant entity or either the individual applicant , by each five years as well.

**PEFC – España /Check List**

**Part 2 – Check List for the Pan European Operational Level Guidelines for Sustainable Forest Management**

Criterion 1 - maintenance and appropriate enhancement of forest resources and their contribution to global carbon cycles.

Please indicate which of the following elements have been addressed in your application and state in the space provided the reasons if any of the answers are 'No'. If you require more space please attach a separate sheet.

<b>1.1 Guidelines for Forest Management Planning</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
1.1 a	x		(1; 2) 1.1; 1.2; 1.3;
1.1 b	x		(1; 2) 1.4 & (2) 1.8;
1.1 c	x		(1; 2) 1.4;
1.1 d	x		(1; 2) 1.4 & (1) 1.5

<b>1.2 Guidelines for forest management practices</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
1.2 a	x		(1; 2) 1.2; 1.3; 1.4 & (1) 1.5;
1.2 b	x		(1; 2) 1.2; 1.3
1.2 c	x		(1) 1.5 & (2) 1.6; 1.8;

Criterion 2 – maintenance of forest ecosystem, health and vitality

<b>2.1 Guidelines for Forest Management Planning</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
2.1 a	x		(1; 2) 2.1; 2.9;
2.1 b	x		(1; 2) 2.1; 2.2; 2.3; 2.5; 2.6; 2.7; 2.9;
2.1 c	x		(1; 2) 2.5; 2.7;

<b>2.2 Guidelines for Forest Management Practices</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
2.2 a	x		(1; 2) 2.6; 2.7;
2.2 b	x		(1; 2) 2.7;
2.2 c	x		(1; 2) 2.5; 2.6;
2.2 d	x		(1; 2) 2.5; 2.6;

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Criterion 3 – Maintenance and Encouragement of Productive Functions of Forests (Wood and Non-Wood)

<b>3.1 Guidelines for Forest Management Planning</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
3.1 a	x		(1; 2) 3.1; 3.2 & (2) 3.5
3.1 b	x		(1; 2) 3.3 & (2) 3.5
3.1 c	x		(1; 2) 3.1; & (2) 3.5

<b>3.2 Guidelines for Forest Management Practices</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
3.2 a	x		(1; 2) 3.1; 3.2
3.2 b	x		(1; 2) 3.1; 3.2
3.2 c	x		(1; 2) 3.1; 3.2
3.2 d	x		(1; 2) 3.4

Criterion 4 – Maintenance, Conservation and appropriate enhancement of biological diversity in forest ecosystems

<b>4.1 Guidelines for Forest Management Planning</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
4.1 a	x		(1; 2) 4.1; 4.5; 4.6; 4.7; 6.5 & (2) 4.8; 4.9.
4.1 b	x		(1; 2) 4.2; 4.3; 4.4; 4.6; & (2) 4.9;

<b>4.2 Guidelines for Forest Management Practices</b>			
PE – OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
4.2 a	x		(1; 2) 4.7
4.2 b	x		(1; 2) 4.7
4.2 c	x		(1; 2) 4.1; 4.5; 4.6; 6.5 & (2) 4.9
4.2 d	x		(1) 1.5
4.2 e	x		(1; 2) 2.7;
4.2 f	x		(1; 2) 2.3; 3.4; 4.4
4.2 g	x		(1) 2.2 & (1; 2) 2.9;
4.2 h	x		(1; 2) 4.5; 6.1;
4.2 i	x		(1; 2) 5.3;

Criterion 5 – Maintenance and appropriate enhancement of protective functions in forest management (notably soil and water)

<b>5.1 - Guidelines for Forest Management Planning</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
5.1 a	x		(1; 2) 5.1; 5.3;
5.1 b	x		(1; 2) 5.3; 6.1; 4.2; 4.3;

<b>5.2 – Guidelines for Forest Management Practices</b>			
PE - OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
5.2 a	x		(1; 2) 5.1; 2.9;
5.2 b	x		(1; 2) 5.2; 2.5 & (2) 2.10;
5.2 c	x		(1; 2) 5.1; 3.4;

Criterion 6 – Maintenance of other socio-economic functions and conditions

<b>6.1 Guidelines for Forest Management Planning</b>			
PE – OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
6.1 a	x		(1; 2) 6.2 & (2) 6.6; 3.5;
6.1 b	x		(2) 1.6; 1.8;
6.1 c	x		(1; 2) 6.5; 3.4;
6.1 d	x		(1; 2) 6.1; 6.4;
6.1 e	x		(1; 2) 6.3 & (2) 1.8;

<b>6.2 Guidelines for Forest Management Practices</b>			
PE – OLG	Yes	No	Criteria and indicators of the UNE standards (1 / UNE- 162002-1 and 2 / UNE- 162002-2)
6.2 a	x		(2) 1.8
6.2 b	x		(1; 2) 6.2; 6.3
6.2 c	x		(1; 2) 6.1; 6.5; 4.2; 4.3; 4.4; 4.6 & (2) 4.9

### Part 3 – General Reminders

- 1) For all the operational level guidelines listed in Part 2, have you provided a full explanation in your application as to how you have achieved these?

Yes  No

If No, which of the operational guidelines is missing and why?

## PEFC – España /Check List

- 2) Level of Application (Technical Document 4.2): has your application document clearly delimited the certification units which will be available? Yes  No

If the answer is No, please explain why.

- 3) Certification Process (Technical Document 4.3): does your application document highlight how the certification process steps described in Chapters 4.3.1.1 to 4.3.1.5 will be implemented?

Yes  No

If No, please explain why.

This is to certify that to the best of my knowledge the above information is correct.

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